

OPEN ACCESS

MATHS/CLIMATE



31ST AUGUST 2018, OPEN RESEARCH, UNIVERSITY OF EXETER

<http://www.exeter.ac.uk/research/openresearch/>

Topics



Intro: what is open access and why is it important?

1. Uploading via Symplectic to ORE, University policy, REF 2021 policy
2. Paying for open access, funder open access policies
3. Paying other publication charges
4. American Meteorological Society (AMS) and American Geophysical Union (AGU) policies

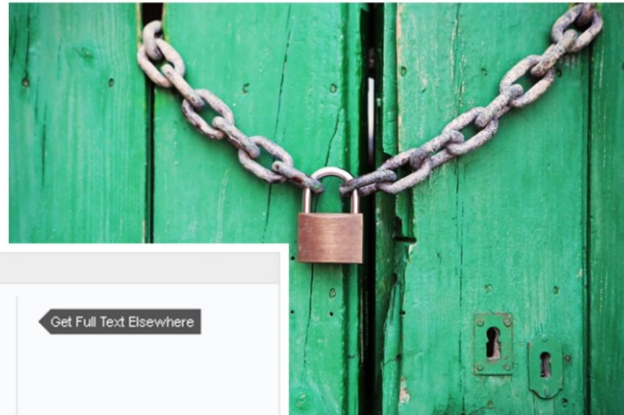
What is open access?



“Open Access is the free, immediate, online availability of research articles coupled with the rights to use these articles fully in the digital environment.”

<https://sparcopen.org/open-access/>

Open access means no paywall



Choose an option to locate/access this article:

Check if you have access through your login credentials or your institution

Check Access

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Traditionally, articles are published in journals, publishers sell subscriptions to journals, institutions buy subscriptions to give access to that content for members of the institution. So, research findings are only available to members of institutions that purchase access, or individuals who can afford to pay to download a single copy.

Open Access publications are freely available to be read and downloaded by anybody with an internet connection anywhere in the world. Application of licences e.g. Creative Commons [CC BY](#) grants maximum opportunities for reuse, providing proper credit is given to the author of the work.

This means the work is accessible to be read & built upon by researchers in developing countries, independent researchers (not affiliated with an institution), practitioners e.g. healthcare workers, members of the public, governments, who couldn't otherwise access it.

It is widely accepted that open access articles have higher citations. Open access can increase the visibility and impact of University of Exeter research. Open access also allows you to comply with funder and University policies.

How to make your work open access



1. Deposit in a repository
self-archiving or “green” open access
2. Pay an open access Article Processing Charge (APC)
“gold” open access

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Two main ways to achieve open access

1. Deposit in a repository (free of charge) – usually accepted manuscript
2. Pay a fee to the publisher to make the final published version immediately OA on the publisher website

We will talk about each of these routes in turn.

Open Research Exeter (ORE)



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Open Research Exeter (ORE)

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Academics should deposit publications in ORE via [Bepress](#). To upload data view [2018 guides](#).
contact ore@exeter.ac.uk

Related Links

What is ORE

Library Site

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All of ORE

Communities & Collections

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Recently Uploaded

This contentious storm: an ecocritical and performance history of King Lear

O'Malley, EM (Taylor & Francis (Routledge), 2019-06-05)

Book Review

A concurrent pictorial drug choice task marks multiple risk factors in treatment-engaged smokers and drinkers

Hardy, L, Parker, S, Hartley, L, Hogarth, L (Lippincott, Williams & Wilkins, 2019)

Concurrent choice tasks, where subjects choose between a drug versus natural reward, predict dependence vulnerability in animals and humans. However, the sensitivity of concurrent choice tasks to multiple risk factors in ...

Agreement Between Dual-Energy X-Ray Absorptiometry and Quantitative Ultrasound to Evaluate Bone Health in

Adolescents: The PRO-BONE Study

Tomes-Costoso, A, Vlachopoulos, D, Ubago-Galardo, E, Fern-Mirales, A, Cervero-Redondo, I, Martinez-Morales, V, Garcia-Marco, L (Human Kinetics, 2019-05-26)

PURPOSE: The present study aims to investigate the association between dual-energy X-ray absorptiometry (DXA) and quantitative ultrasound (QUS) parameters and the intermethod agreement in active males. METHODS: In this ...

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The University of Exeter's institutional repository is Open Research Exeter ([ORE](#)).

ORE is an archive for storing, preserving and providing access to the research outputs of the institution. It is a showcase of the research outputs of the University.

Upload via [Symplectic](#) to [ORE](#)



Symplectic Elements Login

Enter your University credentials and click **Login**:

Username:

Password:

Login

Symplectic Elements is the central publication management system for use by the University of Exeter.



Open Research Exeter (ORE)

ORE Home

Search ORE **Search**

Upload to ORE **Login to ORE**

Academics should deposit publications in ORE via [Symplectic](#). To upload data over 2GB please contact info@exeter.ac.uk

Recently Uploaded

Singing Translators and Mobile Traditions: Cross-cultural Performances of Italian Folk Music in Contemporary Australia
Mavers, E; Wilson, R (France University Press, 2017)
Translators, as intercultural mediators, play various roles, among which as singers and performers. Striking examples of singing and performing translators can be found in multicultural Australia. They direct Italian ...

Google and advertising: digital capitalism in the context of Post-Fordism, the reification of language, and the rise of fake news
Graham, Richard (Palgrave Macmillan, 2017-12-12)
Google's dominance over the web allows it to dictate various norms and practices that regulate the state of contemporary capitalism online. The way in which Google operates as a company and generates revenue is often ...

PARTNERS collaborative care for people with psychosis in the UK: Using tape assisted recall to explore practitioner-client interactions
Owen-Jones, RC; Baker, E; Cox, M; Gill, L; McCabe, C; Retbak, A; Planner, C; Plappert, H; Prebst, V; Reilly, S; Gask, L; Byng, R; Britten, N; Birchwood, M (COMET, 2017-06)
In the UK there is a need to better support people with bipolar and schizophrenia not currently in crisis. PARTNERS is testing collaborative care by placing secondary practitioners in primary care, who take a coaching ...

Neurocognitive mechanisms of the flow state
Harris, DJ; Vine, SJ; Wilson, MR (Elsevier, 2017-07-24)
While the experience of flow is often described in attentional terms-focused concentration or task absorption-specific cognitive mechanisms have received limited interest. We propose that an attentional explanation provides

Related Links

- What is ORE
- Library Site
- Research Site

Browse

- All of ORE
- Communities & Collections
- Titles
- Authors
- Type

Statistics

- Most Popular Items
- Statistics by Country

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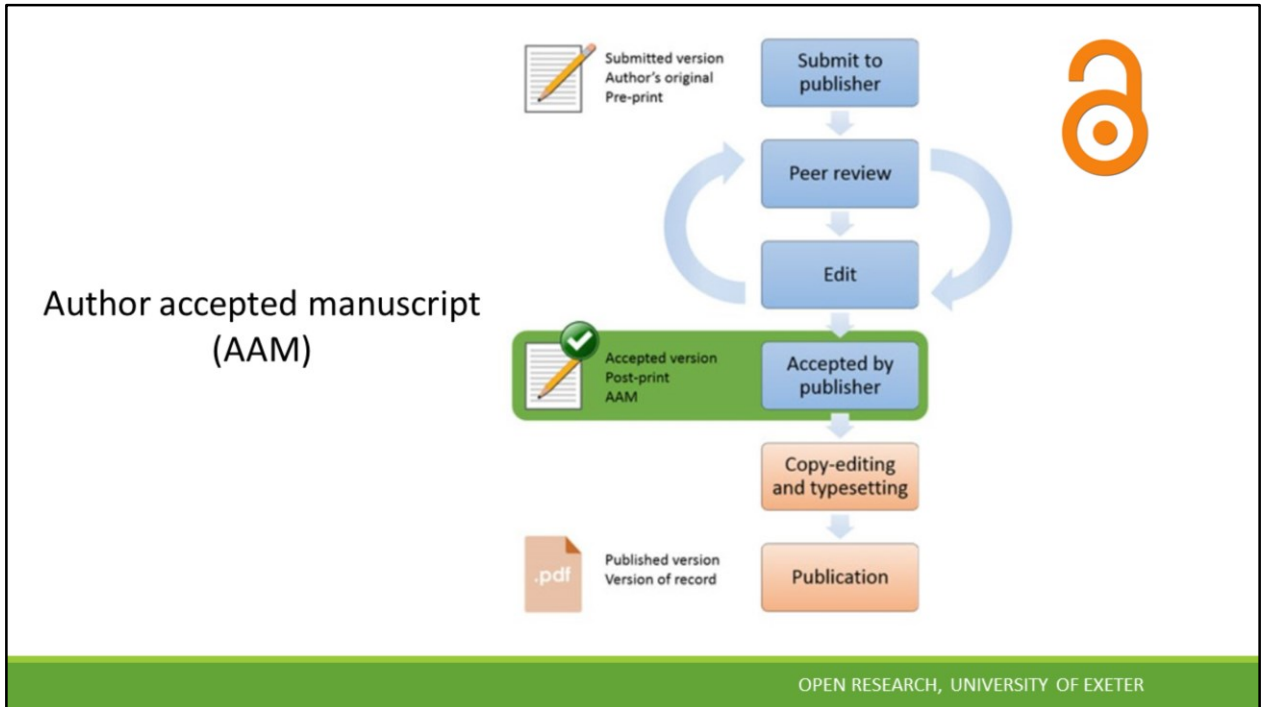
The University's preferred route to open access is via self archiving in the in ORE.

Publications deposited in ORE are very discoverable by the world at large! They commonly appear in the top three search results in search engines.

Once deposited, each publication in ORE is assigned a 'handle'; this is a permanent URL, and can be used as a persistent online identifier to point to the work in citations etc.

In [Symplectic](#), upload the file, tick the box to agree to the ORE licence, then deposit. All deposits come into a "taskpool", where we review them before making them available in ORE

There are no charges associated with this option, also referred to as "green" open access.



The version to deposit is the author accepted manuscript

- also known as the *author manuscript, author's accepted manuscript, final author version, or post-print*
- content is identical to the published version
- includes all modifications from the peer review process
- without the publisher's layout and formatting (over which the publisher retains copyright)
- if you don't have a publisher-supplied manuscript:
incorporate main text and any supplementary information
in **one PDF/A or PDF file.**

University open access policy



“All researchers should deposit the research papers they produce [...] whilst employed at the University in the institutional repository within three months of acceptance for publication”

- ☑ Applies to journal articles and conference proceedings with an ISSN
- ☑ Authors of other output types are encouraged to pursue open access

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[University of Exeter open access and research data management policy \(2017\)](#)

The University of Exeter’s preferred means for sharing research freely and openly is through self- archiving (the so-called “green” route to Open Access) via ORE

University of Exeter policy requires you to upload your research outputs via Symplectic to ORE.

This applies to journal articles and conference proceedings with an ISSN. Authors are encouraged to make other forms of work OA if possible.

Upload the accepted version, upon acceptance.

REF 2021 open access policy



Eligibility for submission to the next REF:

- ✓ Journal articles and conference proceedings with an ISSN
- ✓ Accepted for publication after 1 April 2016
- ✓ Must be deposited in a repository within 3 months of acceptance

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[REF 2021 open access policy](#)

The policy applies to journal articles and conference proceedings with an ISSN.

The University expects all outputs within the scope of the REF policy to be eligible for submission to the REF, even if they are not eventually submitted.

In order to be eligible for submission to the REF, REF 2021 policy requires the AAM to be uploaded to a repository within 3 months of acceptance.

Meet REF requirements by uploading via Symplectic to ORE.



Meeting REF requirements

- Keep the AAM
- Save Word documents as PDFs
- Upload upon acceptance via Symplectic to ORE



What do publishers allow?

- Many publishers allow you to deposit the AAM
- They may require that an embargo is applied
- Deposit on acceptance; we will apply the embargo

Embargo = period of time before article is open access in ORE

Check what publishers allow in Symplectic

Open Research staff apply required embargoes.
Check your funder policy to see if the embargo means you need to pay for open access, apply to openaccess@exeter.ac.uk

Test deposit
Ward-Smith IT, Animal Behaviour

Deposit advice

Institutional advice	SHERPA / RoMEO advice
For Animal Behaviour:	
✓ Author can archive submitted version (i.e. pre-refereeing).	
✓ Author can archive accepted version (i.e. final draft post-refereeing).	
✗ Author cannot archive publisher's version / PDF .	

Conditions:

- Authors pre-print on any website, including arXiv and RePEc
- Author's post-print on author's personal website immediately
- Author's post-print on open access repository after an embargo period of between 12 months and 48 months
- Permitted deposit due to Funding Body, Institutional and Governmental policy or mandate, may be required to comply with embargo periods of 12 months to 48 months
- Author's post-print may be used to update arXiv and RePEc
- Publisher's version/PDF cannot be used

1. Prepare deposit (step 1 of 2)

Upload file

Upload files from your local machine:

Choose file: No file chosen

<http://sherpa.ac.uk/romeo/index.php>

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To discover what publishers allow, check the SHERPA/RoMEO advice in Symplectic at the point of uploading the file. Or use [SHERPA/RoMEO](#) direct. It is a database of publisher copyright and self archiving policies.

You can also check individual publisher websites, or publishers may outline their policies in the acceptance email. SHERPA/RoMEO attempts to draw all this information together, but records can be difficult to interpret.

Few records mention ResearchGate specifically. They refer to deposit in a repository, but ResearchGate is an academic social networking site, not a repository. This means that there is no guarantee of long-term preservation of outputs, although it is useful for visibility.

Unless the SHERPA record specifies, assume you cannot post the full text on ResearchGate or other social networking site.

Paying for open access



APC = Article Processing Charge

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You have the option to pay to publish with open access. Pay a fee to the publisher – an Article Processing Charge (APC), in return for which the final full text of your article is published as open access on the publisher website, immediately it is posted online. A licence is applied such as one of the Creative Commons Licences e.g. [CC BY](#) is required by many funders.

3 types of journals: subscription, hybrid and fully open access.

University policy does not require you to pay for open access.

REF policy does not require you to pay for open access.

You might need to pay for open access to comply with your funder.

Funder requirements for open access



- Check your funder open access policy
- May be able to comply by uploading via Symplectic to ORE
- Journal embargoes can conflict with funder requirements
- Funder may require paid OA with licence: CC BY (only)

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Your funder may require you to make your work available as open access.

You may be able to meet their requirements by uploading to a repository e.g. ORE.

Publisher embargoes can conflict with funder requirements.

If the publisher embargo exceeds maximum allowed by your funder, may need to pay for open access.

If paying for open access, many funders require licence CC BY (only)

Who pays for open access?



Your funder of research might pay for open access either directly through research grant or through central funds provided to institution.

We administer 3 open access funds

1. [RCUK/UKRI open access block grant](#)
2. [Charity Open Access Fund \(COAF\)](#)
3. Institutional APC Fund- for 3*/4* REF-submissible articles, where lead author is at Exeter, that have no other funding for open access.

Apply to us upon acceptance.

Providing your article is eligible to be paid, we will provide billing details for the publisher forms.

If open access costs are eligible to be paid from your research grant, this will be administered by somebody in your college finance team.

[APC discounts and waivers](#) are available to Exeter authors.

Research Councils UK (RCUK):

AHRC, BBSRC, EPSRC, ESRC, MRC, NERC, STFC

Peer-reviewed research / review articles & conference proceedings

Upload *accepted manuscript* to a repository (e.g. ORE) with embargo \leq 6 months (STEM)

BBSRC, MRC also deposit to PubMed Central (PMC)

Paid open access + [CC BY](#) licence

via RCUK open access block grant

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Charity Open Access Fund (COAF)

Arthritis Research UK, Bloodwise, British Heart Foundation, Cancer Research UK,
Parkinsons UK and Wellcome Trust

Paid open access + [CC BY](#) licence + publisher deposit to PMC

via [Charity Open Access Fund \(COAF\)](#)

If no compliant paid option, upload *accepted manuscript* to [Europe PMC+](#) ≤ 6 months embargo

The Wellcome Trust also require open access to scholarly monographs & book chapters

European Research Council (ERC), FP7, Horizon2020

Depending on the type of ERC grant and Work programme (FP7/H2020),
different rules for open access and research data apply

[ERC open access overview](#)

[ERC open access guidelines](#)

Upload *accepted manuscript* to a repository (e.g. ORE) with ≤ 6 months embargo (STEM)
Also upload to PMC/EPMC

Open access costs are eligible to be charged to research grant (during project)



No funding for open access?

1. Upload AM via Symplectic to be open access in ORE – no charge for this
2. Publish in a journal that doesn't charge for immediate open access
e.g. some journals listed in Directory of Open Access Journals ([DOAJ](#)),
eligible Springer hybrid journals in the [open access agreement](#)
3. Ask the publisher to waive the fee
4. [APC discounts are available](#)

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If you have no funds to pay for open access:

1. Publish in a hybrid or non open access journal; the article will be published on traditional basis – not open access, behind a paywall. Upload the AAM to ORE, it will be OA there often (but not always) following an embargo
2. Publish in a journal that will publish your articles as open access without charging a fee e.g. eligible Springer journals in the [Springer Agreement for UK Authors](#), some fully OA journals listed in [Directory of Open Access Journals \(DOAJ\)](#)
3. Request a waiver
4. [APC discounts](#) are available, including £250, £450 APCs

Other publication charges



- Page charges
- Excess length charges / excess page charges
- Publication fees (not open access)
- Colour charges
- Figure charges

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Other publication charges – background:

Some publishers also charge other publication charges such as page charges, publication fees, colour, figure charges.

These are in addition to journal subscriptions paid by libraries and open access APCs.

Not all publishers / not all journals charge these, although they are more common in some disciplines e.g. page charges in Maths.

These charges originated when the main mechanism for information dissemination was in print. Most journal articles are now accessed online, they have less relevance in a digital environment (when there is no additional cost for extra pages, figures or colour).

Who pays your publication charges?



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Publication fees may be eligible to be paid from your research grant – check grant T&Cs, to see if they are an eligible cost.

Publication fees cannot be charged to RCUK grants.

Publication charges can be paid from the UKRI/RCUK block grant that we administer -submit a publication charges funding request to us via email.

From [RCUK policy](#):

“The payment of colour charges and page charges may also be taken from the block grant at the discretion of the managing research organisation which should keep in mind the overall purpose of the block grant which is to support the payment of [open access] APCs.”

We suggest requesting waiver when we are approached to pay page charges from UKRI/RCUK OA block grant. It is a finite pot of money and its primary purpose is to pay for open access publication. Pushing back on publication fees is one way that we manage this finite pot, to prioritise open access payments.

Sometimes publication charges have been waived or reduced, so it's always worth requesting a waiver but if you don't feel comfortable requesting a waiver, or don't feel it's appropriate for your article, you don't have to do it.

The Institutional APC Fund is for supporting open access publication and cannot be used to pay other publication charges.

No funding for the publication fee?



- Opt for colour “online only” free of charge
- Request a waiver from the publisher
- Consider publishing elsewhere
- Think about how page charges will be paid, should the article be accepted, before submitting to a journal that charges these.

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If you have no funding to pay the publication fee,

Opt for colour online only free of charge.

Request a waiver.

If you have funds to pay, you may still wish to request a discount or waiver, as publishers do grant these at times.

Examples:

“I have no funds to pay this fee”

“We are already paying an open access fee of USD \$xxxx and therefore request that the page charges are waived”

If you have no funding to pay and the waiver is not granted, then you may not be able to publish.

Consider if there is an alternative journal in which you could publish your work that doesn't charge a publication fee or page charges.

It is important to confirm funding for publication fee before submitting to a journal that charges this.

American Meteorological Society (AMS)

- Deposit the AAM on acceptance
Comply with REF 2021 and University open access policies
- Final published PDF must be the version available on open access in ORE, after a 6 month embargo
Comply with funders, e.g. NERC, EPSRC, ERC
- We will replace the file for you in ORE

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[AMS copyright policy](#)

AMS allow you to upload the accepted manuscript, but require that that it is replaced with the final published PDF 6 months after publication

Upload the AAM upon acceptance to comply with University policy and REF 2021 OA policy. We will replace the file in ORE with the published version on publication, under a 6 month embargo. This meets the requirements of funders including NERC, EPSRC, ERC, H2020

You do not need to pay for open access in AMS journals.

Search - Publisher copyright policies & self-archiving
[English](#) | [Español](#) | [Magyar](#) | [Nederlands](#) | [Português](#)

 One journal found when searched for: **Journal of climate**

Journal:	Journal of Climate (ISSN: 0994-8755, EISSN: 1520-0442)
RoMEO:	This is a RoMEO yellow journal
Paid OA:	A paid open access option is available for this journal.
Author's Pre-print:	<input checked="" type="checkbox"/> author can archive pre-print (ie pre-refereeing)
Author's Post-print:	<input checked="" type="checkbox"/> subject to Restrictions below, author can archive post-print (ie final draft post-refereeing)
Restrictions:	<ul style="list-style-type: none"> 12 months embargo
Publisher's Version/PDF:	<input checked="" type="checkbox"/> subject to Restrictions below, author can archive publisher's version/PDF
Restrictions:	<ul style="list-style-type: none"> 12 months embargo
General Conditions:	<ul style="list-style-type: none"> On author's personal website or e-prints server Author's Post-print (accepted version) must be removed no later than 1 months after publication and replaced with abstract of article, along with full citation Publisher's version/PDF may be used Publisher's version/PDF may be used on an Institutional Repository associated with author after 6 months embargo Institutional affiliation must be listed in article Publisher copyright must be acknowledged with set statement (see policy) Set statement to accompany each version deposited, e.g. for pre-print, authors post-print etc Must link to publisher version
Mandated OA:	(Awaiting information)
Paid Open Access:	AMS Open Choice
Copyright:	Policy
Updated:	15-Nov-2017 - Suggest an update for this record
Link to this page:	http://sherpa.ac.uk/romeo/issn/0994-8755/
Published by:	American Meteorological Society - Yellow Policies in RoMEO

This summary is for the journal's default policies, and changes or exceptions can often be negotiated by authors. All information is correct to the best of our knowledge but should not be relied upon for legal advice.

Use SHERPA/RoMEO to check what the publisher allows. Note that there may be variation between journals from the same publisher, so check the individual record.

[Journal of Climate](#): the publisher allows you to upload the AAM.

Check conditions for further information –

They require that this is replaced with the final published PDF. Publisher's version/PDF may be used on an Institutional Repository associated with author after 6 months embargo Therefore you can make your article available as open access within 6 months by uploading AAM (comply with university policy and REF) then we will replace with VoR, which will become freely available after 6 months, meeting funder requirements.

AMS other publication charges



- Page charges of USD \$145 per typeset page
- Colour processing charges

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[AMS publication fees](#)

American Geophysical Union (AGU)



- Deposit the AAM on acceptance
Comply with REF 2021 and University open access policies
- Final published PDF must be the version available on open access in ORE, after a 6 month embargo
Comply with funders, e.g. NERC, EPSRC, ERC
- We will replace the file for you in ORE

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AGU **requires you** to deposit the final published version, to be available 6 months after publication

Deposit the AAM upon acceptance to comply with University and REF 2021 OA policies We place it under a temporary indefinite embargo until publication, at which point we will replace it with the published version, under a 6 month embargo. This meets the requirements of funders including NERC, EPSRC, ERC, H2020

You do not need to pay for open access in AMS journals.

AGU usage permissions: <https://publications.agu.org/author-resource-center/usage-permissions/>

“AGU allows authors to deposit their journal articles in institutional repositories if the version is the final published citable version of record, the AGU copyright statement is clearly visible on the posting, and the posting is made 6 months after official publication by the AGU”.

The AAM may be posted to authors’ own websites or departmental websites.

Search - Publisher copyright policies & self-archiving
[English](#) | [Español](#) | [Magyar](#) | [Nederlands](#) | [Português](#)

 One journal found when searched for: **geophysical research letters**

Journal:	Geophysical Research Letters (ISSN: 0094-8276, EISSN: 1944-8007)
RoMEO:	This is a RoMEO green journal
Paid OA:	A paid open access option is available for this journal.
Author's Pre-print:	✓ author can archive pre-print (ie pre-refereeing)
Author's Post-print:	✓ author can archive post-print (ie final draft post-refereeing)
Publisher's Version/PDF:	✓ subject to Restrictions below, author can archive publisher's version/PDF
General Conditions:	<ul style="list-style-type: none"> • Authors' Pre-print on authors' personal website, departmental website or non-commercial pre-print server • Authors' Post-print on authors' personal website, departmental website or non-commercial pre-print server • Set statements to accompany submitted, accepted and published articles • Publisher copyright and source must be acknowledged with DOI • Publisher's version/PDF may be used 6 months after publication • Publisher's version/PDF may be used in Institutional Repository or Governmental Repository
Mandated OA:	(Awaiting information)
Paid Open Access:	Open Access
Notes:	<ul style="list-style-type: none"> • Publisher last reviewed on 01/07/2016 • Publisher last contacted on 27/11/2017
Copyright:	Copyright Form (pdf) - Copyright Transfer and Open Access Information - Author Resources - Usage Permissions
Updated:	27-Nov-2017 - Suggest an update for this record
Link to this page:	http://sherpa.ac.uk/romeo/issn/0094-8276/
Published by:	American Geophysical Union (AGU) (Client Organisations) - Green Policies in RoMEO
Other parties:	Wiley (Commercial Publisher) - Yellow Policies in RoMEO
Guidance:	Please see the list of Publisher Categories in RoMEO for guidance on interpreting the priority of multiple publishers.

These summaries are for the journal's default policies, and changes or exceptions can often be negotiated by authors.
All information is correct to the best of our knowledge but should not be relied upon for legal advice.

Use SHERPA/RoMEO to check what the publisher allows. Note that there may be variation between journals from the same publisher, so check the individual record. e.g. **Earth's Future** is an open access journal.

[Geophysical Research Letters](#): the SHERPA/RoMEO record shows that you are permitted to upload the AAM to authors' own websites or departmental websites.

Policy "AGU allows authors to deposit their journal articles [in institutional repositories] **if the version is the final published citable version of record**, the AGU copyright statement is clearly visible on the posting, and the posting is made 6 months after official publication by the AGU".

Beware the wording in the SHERPA/RoMEO record: "Publisher's version/PDF **may** be used in Institutional Repository or Governmental Repository." In fact, this is the version that must be used.

AGU other publication charges



- Publication fees \$0-\$1000
- If paying for open access, publication fee is not charged
- Excess publication fees \$125 per “publication unit”
- Fees vary by journal, check instructions to authors

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[AGU publication fees](#)

Anything else to think about?



- Always acknowledge funding, including grant numbers
- Include a data access statement

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Always acknowledge funding, including funder name and grant references.

Include a [data access statement](#).

This is required by university policy and also may be required by your funder.

Need further help?

openaccess@exeter.ac.uk

Caroline Huxtable (Repository Officer)
Imogen Ward-Smith (Open Access Publications Officer)

rdm@exeter.ac.uk

Chris Tibbs (Research Data Officer)

Patricia Liebetrau (Open Research Manager)

[Open Access web pages](#)

Open research

Contact us

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Caroline Huxtable
Open Access Repository
Phone: 01392 723962



Imogen Ward Smith
Open Access Publications
Phone: 01392 723074



Chris Tibbs
Research Data Officer
Phone: 01392 724051



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Training we can offer includes:

1:1 sessions

Email, phone, in-person support

Short briefings e.g. at the start of departmental meetings

Longer sessions with more detail about a specific topic e.g. complying with funder open access policies

Workshops